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Substitute for form 1449A/B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	Unassigned
(Use as many sheets as necessary)				Filing Date	February 13, 2004
Sheet	1	of	1	First Named Inventor	Masaharu NAKAJI
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number <b>402975/TAKADA</b>	

<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No <sup>++</sup>
<i>JH</i>	A 1	JP	10-125948		NIPPON TELEGR & TELEPH CORP <NTT>	5/15/1998		X <sup>+</sup>
<i>JH</i>	A 2	JP	11-330536		NEC CORP	11/30/1999		X <sup>+</sup>

<b>OTHER - NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.			Translation	
					Yes	No <sup>++</sup>
<i>JH</i>	A 3	T. IDO et al., "Highly Efficient Lens-Less Coupling of High-Speed Waveguide Photodiode to SMF and its Application to an Extremely Thin Surface-Mountable 10-Gbps Receiver Module", OFC 2003, pp. 66-67, Vol. 1, March 24, 2003.				
<i>JH</i>	A 4	ISHIMURA et al., "40Gbps Waveguide Photodiode for 1.3μm/1.55 μm wavelength", <i>Extended abstract of presentation made March 27, 2003 at The Japan Society of Applied Physics and Related Societies.</i>				

Examiner Signature	<i>JH</i>	Date Considered	9/8/05
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- A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).
- An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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MAY 24 2006



**Substitute for form 1449A/B/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 1

Attorney's Bar Number: 482575

**Complete if Known**

Application Number	10/777,136
Filing Date	February 13, 2004
First Named Inventor	NAKAJI
Group Art Unit	2874
Examiner Name	Unassigned

Sheet 1 of 1

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Attorney Docket Number 402975

**OTHER - NON PATENT LITERATURE DOCUMENTS**

**Examiner  
Signature**

Date \_\_\_\_\_

9/8/05

\* A concise statement of relevance is being submitted in lieu of a translation. 27 CFR 1.08(a)(2)

- A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).
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